Attorney Docket No.: O94723

AMENDMENT UNDER 37 C.F.R. § 1.111

Appln. No.: 10/581,716

## AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions and listings of claims in the application:

## LISTING OF CLAIMS:

1-65. (canceled).

66. (new): A mesogenic, cross-linkable mixture comprising:

- a cross-linkable liquid crystalline host comprising at least one cross-linkable i) liquid crystalline compound, and
- at least one chiral or achiral rod shaped additive component, wherein the additive ii) component is a compound of formula (I):

wherein:

C1 to C4 are selected from optionally substituted cyclohexyl or cyclohexylene, phenyl or phenylene, naphthyl or naphthylene or phenanthryl or phenanthrylene; connected to each other at the opposite positions via the bridging groups Z<sup>1</sup> to Z<sup>3</sup>; wherein A<sup>1</sup> to A<sup>3</sup> each independently represent hydrogen or a group represented by formula (II), and wherein at least one of A<sup>1</sup> to A<sup>3</sup> has the meaning of formula (II).

$$P-(Sp)_k-(X)_t$$
 - (II)

wherein:

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P is hydrogen or a polymerizable group which is  $CH_2=CW-$ ,  $CH_2=CW-$ O-,  $CH_2=CW-$ COO-, wherein:

W is H or CH<sub>2</sub>.

Sp has the meaning of formula (III)

$$\begin{array}{c} R^1 \\ | \\ (CH_2)n^1 - (Y^1)m^1 - (CH_2)n^2 - (B^1)m^2 - (CH_2)n^3 - (Y^2)m^3 - (CH_2)n^4 \\ | \\ R^2 \end{array}$$

wherein:

Y1 and Y2 each independently represent -OCO- or -COO-,

B1 represents C, which is chiral,

R1 and R2 each independently represent a C1-C12 alkyl residue,

n1, n2, n3 and n4 are independently integers from 0 to 15,

such that  $1 \le n^1 + n^2 + n^3 + n^4 \le 15$ ;

 $m^2$  is 1.

m1 and m3 are independently integers from 0 to 1, and

wherein:

one or more -CH<sub>2</sub>- groups present in the hydrocarbon chain of (III) is unreplaced or replaced, independently, by one or more groups selected from -O-, -CH=CH- or -C=C-, with the proviso that the carbon-carbon double bond of P is not directly connected to the carbon atom of  $Y^1$  or  $Y^2$ .

k is 0 or 1, with the proviso that in at least one  $A^1$  to  $A^3$  k is 1,

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t is 1;

or

wherein at least one of A1 to A3 has the meaning of formula (II),

$$P-(Sp)_k-(X)_t$$
 - (II)

wherein:

P is hydrogen or a polymerizable group which is CH<sub>2</sub>=CW-, CH<sub>2</sub>=CW-O-,

CH2=CW-COO-, wherein:

Sp has the meaning of formula (III)

$$\begin{array}{c} R^1 \\ | \\ (CH_2)n^1 - (Y^1)m^1 - (CH_2)n^2 - (B^1)m^2 - (CH_2)n^3 - (Y^2)m^3 - (CH_2)n^4 \\ | \\ R^2 \\ (III) \end{array}$$

wherein:

Y1 and Y2 each independently represent -OCO- or -COO-,

B1 represents C or CH,

R1 and R2 each independently represent hydrogen or a C1-C12 alkyl residue,

n<sup>1</sup>, n<sup>2</sup>, n<sup>3</sup> and n<sup>4</sup> are independently integers from 1 to 15,

such that 
$$1 \le n^1 + n^2 + n^3 + n^4 \le 15$$
;

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 $m^1$ ,  $m^2$  and  $m^3$  are 0 or 1, with the proviso that at least one of  $m^1$  or  $m^3$  is 1; and with the proviso that if  $m^1$  is 1, than  $n^1$  and at least one of  $n^2$ ,  $m^2$ ,  $n^3$  or  $n^4$  is 1; and if  $m^3$  is 1 than  $n^4$  is 1 and at least one of  $n^1$ ,  $n^2$ ,  $m^2$  or  $n^3$  is 1;

and wherein one or more -CH<sub>2</sub>- groups present in the hydrocarbon chain of (III) is unreplaced or replaced, independently, by one or more groups selected from -O-, -

with the proviso that the carbon-carbon double bond of P is not directly connected to the carbon atom of  $Y^1$  or  $Y^2$ .

k is 0 or 1, with the proviso that at in least one of A<sup>1</sup> to A<sup>3</sup> k is 1,

X is -O-, -CO-, -COO-, -OCO-, -CH=CH-, -C≡C-, or a single bond,

t is 1;

CH=CH- or -C≡C-.

 ${\rm A}^4$  is hydrogen, a polar group which is cyano, nitro, a halogen, or a group of formula (II)

$$P\text{-}(\mathrm{Sp})_k\text{-}(\mathrm{X})_t-(\mathrm{II})$$

in which:

P is hydrogen or a polymerizable group which is  $CH_2=CW-$ ,  $CH_2=CW-O-$ ,  $CH_2=CW-COO-$  or

wherein:

W is H, CH3, F, Cl, Br or I,

R" is a C1-6 alkyl group, methoxy, cyano, F, Cl, Br or I,

Sp is a C<sub>1-22</sub> branched or straight-chain alkylene group, in which one or more -CH<sub>2</sub>-groups present in the hydrocarbon chain may be replaced, independently, by one or more

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groups selected from -O-, -CH(OH)-, -SO<sub>2</sub>-, -COO-, -OCO-, -OCO-O-, - CH=CH-, -C=C-, -(CF<sub>2</sub>)<sub>r</sub>- ,

with the proviso that no two oxygen atoms are directly linked to each other, and wherein r is an integer between 1 and 10,

k is 1,

X is -O-, -CO-, -COO-, -OCO-, -CH=CH-, -C=C-, or a single bond,

t is 1.

wherein:

W is H, CH3, F, Cl, Br or I,

R" is a C<sub>1-6</sub> alkyl group, methoxy, cyano, F, Cl, Br or I;

 $Z^1$  to  $Z^3$  are independently from each other -CH(OH)-, -CO-, -CH<sub>2</sub>(CO)-, -SO-, -CH<sub>2</sub>(SO)-, -SO<sub>2</sub>-, -CH<sub>2</sub>(SO<sub>2</sub>)-, -COO-, -OCO-, -COCF<sub>2</sub>-, -CF<sub>2</sub>CO-, -S-CO-, -CO-S-, -SOO-, -OSO-, -SOS-, -CH<sub>2</sub>-CH<sub>2</sub>-, -OCH<sub>2</sub>-, -CH<sub>2</sub>O-, -CH=CH-, -C=C-, -CH=CH-COO-, -OCO-CH=CH-, -CH=N-, -C(CH<sub>3</sub>)=N-, -N=N- or a single covalent bond.

a1, a2 and a3 are independently from each other integers from 0 to 3, such that

$$1 < a1 + a2 + a3 \le 3$$

with the proviso that the sequence:

## $A^{1}-C^{1}-(Z^{1}-C^{2})_{a1}-(Z^{2}-C^{3})_{a2}-(Z^{3}-C^{4})_{a3}-A^{2}$

describes the long molecular axis of the rod shaped additive components and wherein the additive component changes from the liquid crystalline state to the isotropic state at a temperature of 20 °C or lower.

- 67. (new): A mixture according to claim 66, wherein the additive component has a transition temperature to the isotropic state of 0 °C or lower.
- 68. (new): A mixture according to claim 66 having a clearing temperature of 30 °C or higher.
- 69. (new): A mixture according to claim 66 having a clearing temperature of 50  $^{\circ}$ C or higher.
- 70. (new): A mixture according to any one of claims 66-69, wherein the liquid crystalline host has a clearing temperature of 50 °C or higher.

## 52. (previously presented):

- 71. (new): A mixture according to claim 66 comprising further agents, which are cross-linking agents, stabilizing agents, initiators, dyes, other chiral or achiral additives and plasticizers.
- 72 (new): A mixture according to claim 66 in form of an elastomer, polymer gel, polymer network or polymer film.
  - 73. (new): A chiral or achiral rod shaped compound, wherein said formula (I):

wherein:

 $C^1$  to  $C^4$  are selected from optionally substituted cyclohexyl or cyclohexylene, phenyl or phenylene, naphthyl or naphthylene or phenanthryl or phenanthrylene; connected to each other at the opposite positions via the bridging groups  $Z^1$  to  $Z^3$ ; wherein  $A^1$  to  $A^3$  each independently represent hydrogen or a group represented by formula (II), and wherein at least one of  $A^1$  to  $A^3$  has the meaning of formula (II),

$$P-(Sp)_k-(X)_t$$
 - (II)

wherein:

P is hydrogen or a polymerizable group which is CH<sub>2</sub>=CW-, CH<sub>2</sub>=CW-O-, CH<sub>2</sub>=CW-COO-, wherein:

Sp has the meaning of formula (III)

$$\begin{array}{c} R^1 \\ | \\ (CH_2)n^1 - (Y^1)m^1 - (CH_2)n^2 - (B^1)m^2 - (CH_2)n^3 - (Y^2)m^3 - (CH_2)n^4 \\ | \\ R^2 \end{array}$$
 (III)

wherein:

Y1 and Y2 each independently represent -OCO- or -COO-,

B1 represents C, which is chiral,

R1 and R2 each independently represent a C1-C12 alkyl residue,

n<sup>1</sup>, n<sup>2</sup>, n<sup>3</sup> and n<sup>4</sup> are independently integers from 0 to 15,

such that  $1 \le n^1 + n^2 + n^3 + n^4 \le 15$ ;

 $m^2$  is 1,

m1 and m3 are independently integers from 0 to 1, and

wherein:

one or more -CH<sub>2</sub>- groups present in the hydrocarbon chain of (III) is unreplaced or replaced, independently, by one or more groups selected from -O-, -CH=CH- or -C=C-, with the proviso that the carbon-carbon double bond of P is not directly connected to the carbon atom of  $Y^1$  or  $Y^2$ .

k is 0 or 1, with the proviso that in at least one A<sup>1</sup> to A<sup>3</sup> k is 1,

X is -O-, -CO-, -COO-, -OCO-, -CH=CH-, -C≡C-, or a single bond,

t is 1;

or

wherein at least one of A<sup>1</sup> to A<sup>3</sup> has the meaning of formula (II),

$$P-(Sp)_k-(X)_t$$
 - (II)

wherein:

Sp has the meaning of formula (III)

$$\begin{array}{c} R^1 \\ | \\ (CH_2)n^1 - (Y^1)m^1 - (CH_2)n^2 - (B^1)m^2 - (CH_2)n^3 - (Y^2)m^3 - (CH_2)n^4 \\ | \\ R^2 \\ (III) \end{array}$$

wherein:

Y1 and Y2 each independently represent -OCO- or -COO-,

B1 represents C or CH,

R1 and R2 each independently represent hydrogen or a C1-C12 alkyl residue,

n<sup>1</sup>, n<sup>2</sup>, n<sup>3</sup> and n<sup>4</sup> are independently integers from 1 to 15,

such that 
$$1 \le n^1 + n^2 + n^3 + n^4 \le 15$$
;

 $m^1$ ,  $m^2$  and  $m^3$  are 0 or 1, with the proviso that at least one of  $m^1$  or  $m^3$  is 1; and with the proviso that if  $m^1$  is 1, than  $n^1$  and at least one of  $n^2$ ,  $m^2$ ,  $n^3$  or  $n^4$  is 1; and if  $m^3$  is 1 than  $n^4$  is 1 and at least one of  $n^1$ ,  $n^2$ ,  $m^2$  or  $n^3$  is 1;

and wherein one or more -CH<sub>2</sub>- groups present in the hydrocarbon chain of (III) is unreplaced or replaced, independently, by one or more groups selected from -O-, -

with the proviso that the carbon-carbon double bond of P is not directly connected to the carbon atom of  $Y^1$  or  $Y^2$ ,

k is 0 or 1, with the proviso that at in least one of A<sup>1</sup> to A<sup>3</sup> k is 1,

X is -O-, -CO-, -COO-, -OCO-, -CH=CH-, -C≡C-, or a single bond,

t is 1;

A<sup>4</sup> is hydrogen, a polar group which is cyano, nitro, a halogen, or a group of formula (II)

$$P\text{-}(\mathrm{Sp})_k\text{-}(\mathrm{X})_t-(\mathrm{II})$$

in which:

P is hydrogen or a polymerizable group which is  $CH_2=CW-$ ,  $CH_2=CW-O-$ ,  $CH_2=CW-COO-$  or

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wherein:

W is H, CH3, F, Cl, Br or I,

R" is a C1-6 alkyl group, methoxy, cyano, F, Cl, Br or I,

Sp is a  $C_{1-22}$  branched or straight-chain alkylene group, in which one or more  $-CH_2$ -groups present in the hydrocarbon chain may be replaced, independently, by one or more groups selected from -O-, -CH(OH)-, -SO<sub>2</sub>-, -COO-, -OCO-O-, - CCH=CH-, -C=C-, -(CF<sub>2</sub>)<sub>r</sub>-,

with the proviso that no two oxygen atoms are directly linked to each other, and wherein r is an integer between 1 and 10,

k is 1.

X is -O-, -CO-, -COO-, -OCO-, -CH=CH-, -C≡C-, or a single bond,

is 1.

with the proviso that at least one of A<sup>1</sup> to A<sup>4</sup> comprises a polymerizable group which is CH>=CW-CW-CV-COO- or

wherein:

W is H, CH3, F, Cl, Br or I,

R" is a C1-6 alkyl group, methoxy, cyano, F, Cl, Br or I;

 $Z^1$  to  $Z^3$  are independently from each other -CH(OH)-, -CO-, -CH<sub>2</sub>(CO)-, -SO-, -CH<sub>2</sub>(SO)-, -SO<sub>2</sub>-, -CH<sub>2</sub>(SO<sub>2</sub>-, -COO-, -OCO-, -COCF<sub>2</sub>-, -CF<sub>2</sub>CO-, -S-CO-, -CO-S-,

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-SOO-, -OSO-, -SOS-, -CH<sub>2</sub>-CH<sub>2</sub>-, -OCH<sub>2</sub>-, -CH<sub>2</sub>O-, -CH=CH-, -C=C-, -CH=CH-COO-, -OCO-CH=CH-, -CH=N-, -C(CH<sub>3</sub>)=N-, -N=N- or a single covalent bond,

a1, a2 and a3 are independently from each other integers from 0 to 3, such that

$$1 \le a1 + a2 + a3 \le 3$$
,

with the proviso that the sequence:

$$A^{1}-C^{1}-(Z^{1}-C^{2})_{0,1}-(Z^{2}-C^{3})_{0,2}-(Z^{3}-C^{4})_{0,3}-A^{2}$$

describes the long molecular axis of the rod shaped additive components.

- 74. (new): A method of using a chiral or achiral rod shaped compound, comprising preparing a mesogenic polymer mixture as described in claim 66 and having a transition temperature to the isotropic state of 20 °C or lower.
  - 75. (new): A polymer network prepared from a mixture according to claim 66.
- (new): A liquid crystalline polymer film prepared from a mixture according to claim
- 77. (new): A method of using a polymer network or a liquid crystalline polymer film, comprising preparing unstructured or structured optical and electro-optical components and multilayer systems from (A) a polymer network prepared from a mixture according to claim 66 or (B) a liquid crystalline polymer film prepared from a mixture according to claim 66.
- 78. (new): A method of using a mesogenic, cross-linkable mixture, comprising preparing an elastomer, polymer gel, polymer network or polymer film from a mesogenic, cross-linkable mixture according to claim 66.

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79. (new): A method of using a polymer network, comprising manufacturing waveguides, optical gratings, filters, retarders, polarizers, piezoelectric cells or thin film exhibiting non-linear optical properties from a polymer network according to claim 75.

80. (new): Optical or electro-optical components comprising a polymer network according to claim 75.

81. (new): A method of using a liquid crystalline polymer film, comprising manufacturing waveguides, optical gratings, filters, retarders, polarizers, piezoelectric cells or thin film exhibiting non-linear optical properties from a liquid crystalline polymer film according to claim 76.

82. (new): Optical or electro-optical components comprising a liquid crystalline polymer film according to claim 76.